

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Paul Reuben Day et al. : Date: March 25, 2005

Group Art Unit: 2171 : IBM Corporation

Examiner: Unassigned : Intellectual Property Law

Serial No.: 10/754,010 : Dept. 917, Bldg. 006-1

Filed: January 8, 2004 : 3605 Highway 52 North

Title: METHOD AND SYSTEM FOR A : Rochester, MN 55901

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 10/754,010

Docket No. ROC920030366US1

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 25, 2005

(Date of Deposit)

Debra A. Peterson

Respectfully submitted,

PAUL REUBEN DAY et al.

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PTO/SB/08A

INFORMATION DISCLUSIVE STATEMENT BY APPLICATIONAL

Applic	auon	MIN	ш
Filing 1	Date:		

10/754,010

First Named Inventor:

January 8, 2004 Paul R. Day

Art Unit:

2171

Examiner Name:

<u>Unassigned</u>

Sheet 1 of 1

Attorney Docket Number.: ROC920030366US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T ²
		DON CHAMBERLIN, "A Complete Guide to DB2 Universal Database," 1998 by Morgan Kaufmann Publisher, Inc, ISBN 1-55860-482-0, Chapter 7.5.1, Conservative Binding Semantics, pp. 435-455.	
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Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.